## Stereoselective Ester Dienolate Carroll Rearrangement: a New Approach to the Prelog-Djerassi Lactone Framework

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**Abstract:** The stereoselective ester dienolate Carroll rearrangement of (E) and (Z)-allylic  $\beta$ -ketoesters has been studied and found to be a new attractive approach to the synthesis of the Prelog-Djerassi lactone and related compounds. The synthesis of the optically active lactone (-)-(2R, 3R, 4R, 6S)-1 as well as the formal synthesis of its natural occurring isomer are described.

The Prelog-Djerassi lactone is an important synthon for the preparation of several macrolide antibiotics as it constitutes a key degradation product of various aglycons like methynolide and narbonolide.<sup>1</sup> Since the first preparation by Masamune in 1975, considerable attention has been given to the preparation of 1. Our own interest for the stereoselective synthesis of  $1^2$  is based on the preparation of a substituted  $\gamma$ .8-ethylenic ketone 2 as a key intermediate, which could be formed by the stereoselective Carroll rearrangement<sup>3a-e</sup> of an allylic  $\beta$ -ketoester 3.

Although a number of recent works have demonstrated the synthetic potentiality of [3,3] sigmatropic rearrangements,<sup>3f</sup> only few reports on the stereoselective Carroll rearrangement have been published recently.<sup>3d.</sup> Herein, we wish to report our preliminary results on the stereoselective synthesis of (2R, 3R, 4R, 6S)-1 by using the ester dienolate Carroll rearrangement of the (E)- $\beta$ -ketoester 3a as a new efficient approach to the Prelog-Djerassi lactone and related compounds. The optically active (2S, 3R)- $\beta$ -ketoesters 3a and 3b serve as model compounds for our study and are easily prepared by transesterification<sup>4</sup> of the corresponding methyl ester<sup>2</sup> in quantitative yield. The correct (2R, 3S) enantiomer can be formed in good yield from (S)-(-)-pulegone.<sup>2</sup>

Treatment of 3a (45.0 mmol, 8.8g) with 2.5 equiv of LDA in THF at -78 °C followed by decarboxylation<sup>3b</sup> of the crude rearranged  $\beta$ -ketoacid 4 leads to the  $\gamma$ , $\delta$ -ethylenic ketone 5 as a mixture of *trans* and *cis* isomers in the ratio 80/20, which upon epimerization gives rise to pure *trans*-5 in 71% overall yield.<sup>5</sup> The configuration of *trans*-5 was confirmed by an X-Ray structural determination of the ketoacid 6 obtained by oxidative cleavage of the double bond (eq 1).<sup>6</sup>



The synthesis was completed by the regio- and chemoselective Baeyer-Villiger oxidation of 5 (8.3 mmol, 1.26g) to the  $\delta$ -lactone 7 which was alkylated and subjected to thermodynamically equilibrated conditions to give predominantly 8 bearing  $\alpha$ -Me with a ratio of  $\alpha:\beta=6:1$  easily purified by medium pressure chromatography on silica gel (76% overall).<sup>7</sup> RuO<sub>4</sub> oxidation<sup>6</sup> yielded quantitatively (2*R*, 3*R*, 4*R*, 6*S*)-1<sup>8</sup> (eq 2).



The synthesis of unnatural (25, 3*R*, 4*R*, 65)-1 was similarly attempted starting from the (Z)-(2S, 3*R*)- $\beta$ -ketoester 3b. However, in this case, the rearrangement of the dilithium dienolate (LDA, -78 °C) proceeds with only moderate diastereoselectivity at the newly created asymmetric center (c.a. 40%). An improved selectivity has been obtained with lithium 2,2,6,6-tetramethylpiperidine (LTMP) at -78 °C leading after decarboxylation and epimerization to a mixture of *trans*-5 and *trans*-9 in the ratio 1/9 with 30% overall yield providing a formal stereoselective synthesis of natural occurring 1 (eq 3).

$$\begin{array}{c}
\begin{array}{c}
1) LTMP, -78^{\circ}C \\
2)CCl_{4}, reflux, 30\% \\
\hline
3) 0.5 eq DBU, wet Es_{2}O, RT, 100\% \\
\end{array} \quad irans-5 (1) \quad \cdot \quad \bigcup_{i_{1},i_{1}} i_{1}rans-9 (9) \\
\end{array} \tag{3}$$

The synthetic sequence based on the stereoselective ester dienolate Carroll rearrangement giving rise to (2R, 3R, 4R, 6S)-1 associated to the diastereoselective preparation of the key intermediate 9 constitutes a new interesting approach to the synthesis of 1 and related compounds. Works aimed at the completion of the synthesis of the natural occurring Prelog-Djerassi lactone as well as the study of the scope and limitation of this approach are under active investigations.

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## **References and notes**

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- 5 trans-5: colorless oil,  $R_f = 0.42$ , ether/pentane: 1/9;  $[\alpha]_{578}^{22} = +128.8$  (CHCl<sub>3</sub>, c = 2); IR (CCl<sub>4</sub>) 3080, 1745, 1640 cm<sup>-1</sup>; <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta = 0.98$  (d, J = 7.1 Hz, 3H), 1.02 (d, J = 6.0 Hz, 3H), 1.26 (m, 1H), 1.63 (dm, J = 10.3 Hz, 1H), 1.96 (m, 3H), 2.19 (dd, J = 16.4, 7.1 Hz, 1H), 2.59 (m, 1H), 4.89 (d, J = 10.0, Hz, 1H), 4.92 (d, J = 17.1, 1H), 5.71 (ddd, J = 17.1, 10.0, 7.3 Hz, 1H); <sup>13</sup>C-NMR  $\delta = 16.28$ , 20.24, 29.58, 33.68, 36.42, 38.77, 60.88, 114.00, 141.69, 219.74; Anal. Calcd. for C<sub>10</sub>H<sub>16</sub>O: C, 78.95; H, 10.53. Found: C, 78.80; H, 10.63.
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- 7 Suzuki, K.; Tomooka, K.; Katayama, E.; Matsumoto, T.; Tsuchihashi, G. J. Am. Chem. Soc., 1986, 108, 5221-5229.
- 8 (2S, 4R, 5R, 6R)-1: greenish cristals, m.p. = 90 °C;  $R_f = 0.32$ : ether;  $[\alpha]_{578}^{26} = -12.86$  (c = 1.5 CHCl<sub>3</sub>);

IR (CCl<sub>4</sub>) 3300-3180 (broad), 1740, 1720, 1240, 1010 cm<sup>-1</sup>: <sup>1</sup>H-NMR (400 MHz, CDCl<sub>3</sub>)  $\delta = 0.97$  (d, J = 6.6 Hz, 3H), 1.23 (d, J = 7.1 Hz, 3H), 1.29 (d, J = 7.2 Hz, 3H), 1.30 (q, J = 13.0 Hz, 1H), 1.87 (ddd, J = 3.4, 6.0, 13.4 Hz, 1H), 2.16 (m, 1H), 2.51 (dquint, J = 6.0, 13.0 Hz, 1H), 2.84 (dq, J = 2.6, 7.2 Hz, 1H), 4.10 (dd, J = 2.6, 10.4 Hz, 1H), 8.80 (m, 1H); <sup>13</sup>C-NMR  $\delta = 13.19$ , 17.10, 17.41, 31.41, 36.07, 37.28, 41.94, 87.77, 174.51, 177.95; HRMS calcd. for C<sub>10</sub>H<sub>16</sub>O<sub>4</sub>: 200.1048. Found: 200.1047.

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